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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kitagawa

Attorney Docket No.: ALPSP140

Application No.: 10/782,214

Examiner: Not yet assigned

Filed: February 2, 2004

Group: 2874

Title: LIGHT DEFLECTOR AND OPTICAL
SWITCH INCLUDING SAME

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on April 26, 2005 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed: _____

Mia Mitchell-Haynes

**INFORMATION DISCLOSURE STATEMENT
37 CFR §§1.56 AND 1.97(b)**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449 copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding European Application No. 04 250 393.8. A copy of the European Search Report which was dated February 28, 2005, is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that additional information (material to the examination of this application) does not exist or that the enclosed reference(s) constitute prior art.

The undersigned hereby states that the references enclosed herewith were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. (37 CFR 1.97(e)(1)). Accordingly, it is respectfully submitted that no fee is due in conjunction with the filing of this

IDS. However, should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 500388 (Order No. ALPSP140).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP

A handwritten signature in black ink, appearing to read 'Haruo Yawata', with a stylized flourish at the end.

Haruo Yawata
Limited Recognition Under 37 CFR §10.9(b)

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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. ALPSP140 Applicant: KITAGAWA Filing Date 2/18/04	Application No.: 10/782,214 Group 2874
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A1	2002/0146196 A1	10/10/02	Shirane et al.	385	16	3/26/02

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	B1	EP 1 243 996 A2	25.09.02	EPO	G02F	1/31	X	
	B2	EP 1 184 690 A2	06.03.02	EPO	G02B	6/122	X	

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	C1	Baba et al., "Photonic Crystal Light Deflection Devices Using the Superprism Effect", IEEE Journal of Quantum Electronics, Vol. 38, No. 7, July 2002, pp. 909-914.
	C2	Kosaka et al., "Superprism Phenomena in Photonic Crystals: Toward Microscale Lightwave Circuits", Journal of Lightwave Technology IEEE, Vol. 17, No. 11, November 1999, pp. 2032-2038.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATES PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Mr. Haruo Yawata is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Beyer Weaver & Thomas, LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Beyer Weaver & Thomas, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Beyer Weaver & Thomas, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Mr. Haruo Yawata ceases to lawfully reside in the United States, (ii) Mr. Haruo Yawata's employment with Beyer Weaver & Thomas, LLP ceases or is terminated, or (iii) Mr. Haruo Yawata ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: January 2, 2007

Harry I. Moatz

Director of Enrollment and Discipline